

# Problem set 1 — Solutions

Phy-801

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## 1. The heat capacity of solids vs gases

(a) i. Using  $dU = TdS - PdV$

$$C_V = \left. \frac{\partial U}{\partial T} \right|_V = T \left. \frac{\partial S}{\partial T} \right|_V \quad (1)$$

using  $dH = d(U + PV) = TdS + VdP$

$$C_P = \left. \frac{\partial H}{\partial T} \right|_P = T \left. \frac{\partial S}{\partial T} \right|_P \quad (2)$$

ii. Use the total differential identity for  $S(T, V)$ :

$$dS = \left. \frac{\partial S}{\partial T} \right|_V dT + \left. \frac{\partial S}{\partial V} \right|_T dV \quad (3)$$

therefore

$$\left. \frac{\partial S}{\partial T} \right|_P = \left. \frac{\partial S}{\partial T} \right|_V + \left. \frac{\partial S}{\partial V} \right|_T \left. \frac{\partial V}{\partial T} \right|_P. \quad (4)$$

therefore

$$C_P - C_V = T \left[ \left. \frac{\partial S}{\partial T} \right|_P - \left. \frac{\partial S}{\partial T} \right|_V \right] = T \left. \frac{\partial S}{\partial V} \right|_T \left. \frac{\partial V}{\partial T} \right|_P. \quad (5)$$

iii. Using  $dF = d(U - TS) = -SdT - PdV$ . Thus

$$S = - \left. \frac{\partial F}{\partial T} \right|_V, \quad P = - \left. \frac{\partial F}{\partial V} \right|_T. \quad (6)$$

differentiating again, and using the equality of mixed derivatives

$$\left. \frac{\partial S}{\partial V} \right|_T = - \frac{\partial}{\partial V} \left( \left. \frac{\partial F}{\partial T} \right|_V \right)_T = - \frac{\partial}{\partial T} \left( \left. \frac{\partial F}{\partial V} \right|_T \right)_V = \left. \frac{\partial P}{\partial T} \right|_V. \quad (7)$$

and hence

$$C_P - C_V = T \left. \frac{\partial P}{\partial T} \right|_V \left. \frac{\partial V}{\partial T} \right|_P. \quad (8)$$

iv. Use the total differential of  $V = V(T, P)$ :

$$dV = \left. \frac{\partial V}{\partial T} \right|_P dT + \left. \frac{\partial V}{\partial P} \right|_T dP. \quad (9)$$

with  $dV = 0$  yields

$$\left. \frac{\partial P}{\partial T} \right|_V = - \frac{\left. \frac{\partial V}{\partial T} \right|_P}{\left. \frac{\partial V}{\partial P} \right|_T}. \quad (10)$$

and hence

$$C_P - C_V = -T \frac{\left( \left. \frac{\partial V}{\partial T} \right|_P \right)^2}{\left. \frac{\partial V}{\partial P} \right|_T}. \quad (11)$$

v. Using the definitions

$$\alpha = \frac{1}{V} \left. \frac{\partial V}{\partial T} \right|_P, \quad \kappa_T = -\frac{1}{V} \left. \frac{\partial V}{\partial P} \right|_T, \quad \left. \frac{\partial V}{\partial P} \right|_T = -\kappa_T V. \quad (12)$$

we hence obtain

$$\boxed{C_P - C_V = \frac{TV\alpha^2}{\kappa_T}} \quad (13)$$

(b) For an ideal monatomic gas, the single-particle partition function is

$$Z_1 = \frac{1}{(2\pi\hbar)^3} \int_V d^3x \int_{\mathbb{R}^3} d^3p e^{-\beta p^2/(2m)}. \quad (14)$$

The  $x$ -integral gives  $V$ . For the momentum integral, factorize into three identical Gaussians:

$$\int_{\mathbb{R}^3} d^3p e^{-\beta p^2/(2m)} = \left( \int_{-\infty}^{\infty} dp e^{-\beta p^2/(2m)} \right)^3 = \left( \sqrt{\frac{2\pi m}{\beta}} \right)^3 = (2\pi m k_B T)^{3/2}. \quad (15)$$

Hence

$$Z_1 = V \left( \frac{mk_B T}{2\pi\hbar^2} \right)^{3/2}. \quad (16)$$

and hence

$$F = -k_B T N \left[ \log V + \frac{3}{2} \log \left( \frac{mk_B T}{2\pi\hbar^2} \right) \right] + k_B T \log N! \quad (17)$$

(c) Using that  $F = -k_B T \log Z$

$$P = - \left. \frac{\partial F}{\partial V} \right|_T = N k_B T \left. \frac{\partial \log Z_1}{\partial V} \right|_T = \frac{N k_B T}{V}, \quad (18)$$

Also

$$U = -T^2 \left. \frac{\partial}{\partial T} \left( \frac{F}{T} \right) \right|_V = N k_B T^2 \left. \frac{\partial \log Z_1}{\partial T} \right|_V = \frac{3}{2} N k_B T. \quad (19)$$

Thus

$$C_V = \left. \frac{\partial U}{\partial T} \right|_V = \frac{3}{2} N k_B. \quad (20)$$

For an ideal gas  $H = U + PV = \frac{3}{2} N k_B T + N k_B T = \frac{5}{2} N k_B T$ , hence

$$C_P = \left. \frac{\partial H}{\partial T} \right|_P = \frac{5}{2} N k_B, \quad (21)$$

and hence

$$\boxed{C_P - C_V = N k_B}. \quad (22)$$

(d) For an ideal monatomic gas,

$$\boxed{\frac{C_P - C_V}{C_P} = \frac{2}{5} = 0.4}. \quad (23)$$

For Helium (given mass-specific values at  $T = 300$  K),

$$\frac{C_P - C_V}{C_P} = \frac{(C_P/M) - (C_V/M)}{C_P/M} = \frac{(5.193 - 3.116) \times 10^3}{5.193 \times 10^3} = 0.400. \quad (24)$$

This matches the ideal-gas prediction to the quoted precision.

(e) **Solids: compute  $(C_P - C_V)/C_P$  from Mayer's relation**

Substituting  $V = M/\rho$  into Mayer's relation dividing by  $C_P$  yields

$$\delta\tilde{C} = \frac{C_P - C_V}{C_P} = \frac{T\alpha^2}{\rho\kappa_T(C_P/M)}. \quad (25)$$

Using data

$$\text{Al: } \delta\tilde{C} = 4.50 \times 10^{-2} \quad (\approx 4.5\%), \quad (26)$$

$$\text{Cu: } \delta\tilde{C} = 2.98 \times 10^{-2} \quad (\approx 3.0\%), \quad (27)$$

$$\text{Si: } \delta\tilde{C} = 1.10 \times 10^{-3} \quad (\approx 0.11\%). \quad (28)$$

Compared to Helium ( $\approx 40\%$ ), the solid-state difference between  $C_P$  and  $C_V$  is typically much smaller.

(f) Plugging in  $v = (\rho\kappa_T)^{-1/2}$ , yields

$$\boxed{\frac{C_P - C_V}{C_P} = \frac{Tv^2\alpha^2}{C_P/M}}. \quad (29)$$

For an ideal gas,  $\alpha = 1/T$  and  $\kappa_T = 1/P$  and  $\rho = M/V = Pm_{\text{mol}}/(RT)$ , so

$$v = (\rho\kappa_T)^{-1/2} = \sqrt{\frac{P}{\rho}} = \sqrt{\frac{RT}{m_{\text{mol}}}}. \quad (30)$$

With  $m_{\text{He}} = 4.0026 \times 10^{-3}$  kg/mol and  $T = 300$  K,

$$v_{\text{He}} \simeq \sqrt{\frac{(8.314)(300)}{4.0026 \times 10^{-3}}} \simeq 7.89 \times 10^2 \text{ m/s}, \quad \alpha_{\text{He}} = \frac{1}{T} \simeq 3.33 \times 10^{-3} \text{ K}^{-1}. \quad (31)$$

For solids we have (by computation)

$$v_{\text{Al}} \simeq 5.30 \times 10^3 \text{ m/s}, \quad \alpha_{\text{Al}} = 6.93 \times 10^{-5} \text{ K}^{-1}, \quad (32)$$

$$v_{\text{Cu}} \simeq 3.95 \times 10^3 \text{ m/s}, \quad \alpha_{\text{Cu}} = 4.95 \times 10^{-5} \text{ K}^{-1}, \quad (33)$$

$$v_{\text{Si}} \simeq 6.55 \times 10^3 \text{ m/s}, \quad \alpha_{\text{Si}} = 7.80 \times 10^{-6} \text{ K}^{-1}. \quad (34)$$

Discussion of scales

- The speed of sound  $v$  is somewhat larger in solids (4-7km/s) than in Helium ( $\sim 0.8$  km/s)
- The thermal expansion coefficient is vastly smaller in solids ( $\alpha_{\text{solid}} \sim 10^{-5}$ - $10^{-6}$  K $^{-1}$ ) than in Helium ( $\alpha_{\text{He}} \sim 10^{-3}$  K $^{-1}$ )
- $C_P/M$  is approximately the same.

Therefore, as the ratio  $(C_P - C_V)/C_P$  depends on  $\alpha^2$ ,  $\alpha$  is the dominant scale in generating the discrepancy between solids and gasses.

(g) The scale  $v = (\rho\kappa_T)^{-1/2}$  is the *speed of sound*.

## 2. The Boltzmann solid

There are 3 kinetic and 3 potential quadratic terms per particle.

(a) For one particle,

$$Z_1 = \frac{1}{(2\pi\hbar)^3} \int_{\mathbb{R}^3} d^3x \int_{\mathbb{R}^3} d^3p \exp \left[ -\beta \left( \frac{p^2}{2m} + \frac{K}{2}(x - x_0)^2 \right) \right]. \quad (35)$$

The integrals factorize:

$$\int d^3p e^{-\beta p^2/(2m)} = (2\pi m k_B T)^{3/2}, \quad (36)$$

$$\int d^3x e^{-\beta K x^2/2} = \left(\frac{2\pi k_B T}{K}\right)^{3/2}. \quad (37)$$

Thus

$$Z_1 = \frac{1}{(2\pi\hbar)^3} (2\pi m k_B T)^{3/2} \left(\frac{2\pi k_B T}{K}\right)^{3/2} = \left(\frac{k_B T}{\hbar\omega}\right)^3 \quad (38)$$

where  $\omega = \sqrt{K/m}$ .

For  $N$  independent particles,

$$\boxed{Z = Z_1^N = \left(\frac{k_B T}{\hbar\omega}\right)^{3N}} = (\beta\hbar\omega)^{-3N} \quad (39)$$

(b)

$$U = -\frac{\partial}{\partial\beta} \ln Z = -\frac{\partial}{\partial\beta} (-3N \log(\beta\hbar\omega)) = \frac{3N}{\beta} = 3N k_B T \quad (40)$$

(c) Using

$$C_V = \left. \frac{\partial U}{\partial T} \right|_V = 3N k_B. \quad (41)$$

The molar heat capacity is then

$$\boxed{c_{\text{mol}} = \frac{C_V}{N_{\text{mol}}} = 3 \frac{N}{N_{\text{mol}}} k_B = 3N_A k_B = 3R}, \quad (42)$$

(d) Per Cartesian component, by direct Gaussian integration we find

$$\sigma_x^2 = \frac{k_B T}{K}, \quad \sigma_p^2 = m k_B T \quad (43)$$

Therefore

$$\sigma_x \sigma_p = \frac{k_B T}{\omega}, \quad \omega = \sqrt{\frac{K}{m}}. \quad (44)$$

Heisenberg requires  $\sigma_x \sigma_p \geq \hbar/2$ , so classical fluctuations would violate quantum mechanics once

$$\frac{k_B T}{\omega} \lesssim \frac{\hbar}{2} \quad \Rightarrow \quad T \lesssim \frac{\hbar\omega}{2k_B} = \frac{1}{2} T_E. \quad (45)$$

Thus quantum effects become quantitatively important for  $T \ll T_E$  (up to order-one constants).

### 3. The Einstein solid

(a) With energies  $E_n = \hbar\omega(n + \frac{1}{2})$  we have partition function

$$Z = \sum_{n=0}^{\infty} e^{-\beta\hbar\omega(n+1/2)} \quad (46)$$

rearranging we obtain

$$Z - e^{-\beta\hbar\omega} Z = e^{-\beta\hbar\omega/2} \quad \Rightarrow \quad Z = \frac{e^{-\beta\hbar\omega/2}}{1 - e^{-\beta\hbar\omega}} \quad (47)$$

The mean energy (dropping the  $T$ -independent zero-point part in  $C$  if desired) is

$$U = -\frac{\partial \ln Z}{\partial \beta} = \hbar\omega \left( \frac{1}{2} + \frac{1}{e^{\beta\hbar\omega} - 1} \right). \quad (48)$$

Differentiate to get the heat capacity:

$$C_{1D} = \frac{\partial U}{\partial T} = k_B (\beta\hbar\omega)^2 \frac{e^{\beta\hbar\omega}}{(e^{\beta\hbar\omega} - 1)^2}. \quad (49)$$

(b)  $N$  particles, each particle has 3 independent 1D oscillators

$$c_{\text{mol}} = \frac{3NC_{1D}}{N_{\text{mol}}} = 3R (\beta\hbar\omega)^2 \frac{e^{\beta\hbar\omega}}{(e^{\beta\hbar\omega} - 1)^2}. \quad (50)$$

(c) Let  $x = T_E/T$

$$\frac{c_{\text{mol}}}{3R} = \frac{x^2 e^x}{(e^x - 1)^2}. \quad (51)$$

For  $x \ll 1$  we have  $e^x \sim 1 + x$  and hence,

$$\frac{c_{\text{mol}}}{3R} \sim x^2 \frac{1}{x^2} = 1, \quad \implies \quad c_{\text{mol}} \sim 3R \quad (52)$$

(d) Key features:

- i. Large  $T$ : Asymptotic approach to  $c_{\text{mol}} \rightarrow 3R$  from below
- ii. Small  $T$ : Rapid (faster than power law) approach to  $c_{\text{mol}} \rightarrow 0$
- iii. Intermediate  $T$ : Crossover in range  $T \sim T_E$

#### 4. Debye solid

(a) In  $d$ -dimensions the number of  $k$ -space density of states per mode is

$$dN = \left( \frac{L}{2\pi} \right)^d d^d \mathbf{k} \quad (53)$$

using  $d = 2$ , polar symmetry, and  $\omega = vk$  we get the frequency density of states

$$dN = \frac{L^2}{4\pi^2} \cdot 2\pi k dk = \frac{L^2}{2\pi} k dk = \frac{L^2}{2\pi v^2} \omega d\omega \quad \implies \quad g(\omega) = \frac{L^2 \omega}{2\pi v^2} \quad (54)$$

Impose a Debye cut-off  $\omega_D$ . The total number of states per mode should equal the number of atoms  $N = nL^2$

$$\int_0^{\omega_D} g(\omega) d\omega = N \quad \Rightarrow \quad \omega_D = 2v\sqrt{\pi n}, \quad \Rightarrow \quad g(\omega) = \frac{2N\omega}{\omega_D^2} \quad (55)$$

In  $d = 2$  there will be two modes (one each of transverse and longitudinal) which are here degenerate

$$U(T) = \int_0^{\omega_D} d\omega g(\omega) \frac{2\hbar\omega}{e^{\beta\hbar\omega} - 1} = \frac{4\hbar N}{\omega_D^2} \int_0^{\omega_D} d\omega \omega^2 n_B(\beta\hbar\omega), \quad n_B(x) = \frac{1}{e^x - 1} \quad (56)$$

Differentiate to find heat capacity and change variables to  $x = \beta\hbar\omega$  with

$$C = \frac{\partial U}{\partial T} = \frac{4\hbar^2 N}{k_B T^2 \omega_D^2} \int_0^{\omega_D} d\omega \frac{\omega^3 e^{\beta\hbar\omega}}{(e^{\beta\hbar\omega} - 1)^2} = 4N k_B \frac{T^2}{T_D^2} \int_0^{T_D/T} dx \frac{x^3 e^x}{(e^x - 1)^2} \quad (57)$$

(b) For  $T \gg T_D$ , the upper limit  $T_D/T \ll 1$  and

$$\frac{x^3 e^x}{(e^x - 1)^2} \sim x \quad (x \ll 1). \quad (58)$$

Hence

$$\boxed{C \sim 4Nk_B \frac{T^2}{T_D^2} \int_0^{T_D/T} dx \, x = 2Nk_B.} \quad (59)$$

(c) For  $T \ll T_D$ , the upper limit  $T_D/T \rightarrow \infty$  so

$$C(T) \sim 4Nk_B \left(\frac{T}{T_D}\right)^2 \int_0^\infty dx \frac{x^3 e^x}{(e^x - 1)^2}. \quad (60)$$

thus

$$\boxed{C \propto T^2 \Rightarrow \nu = 2.} \quad (61)$$

(d) In  $d$  dimensions with a linear acoustic dispersion  $\omega = v|\mathbf{k}|$ , the number of modes with  $|\mathbf{k}| < k$  scales like the  $d$ -dimensional volume of a ball:

$$g(k)dk \propto k^{d-1}dk \Rightarrow g(\omega) \propto \omega^{d-1}. \quad (62)$$

At low  $T$  integral converges as  $T$  dependence may be scaled out

$$U(T) \sim \int_0^\infty d\omega \, g(\omega) \hbar\omega n_B(\omega) \sim \int d\omega \, \omega^d f(\beta\hbar\omega) \propto T^{d+1}, \quad (63)$$

and therefore

$$\boxed{C(T) = \frac{\partial U}{\partial T} \propto T^d \Rightarrow \nu = d.} \quad (64)$$

(e) Now  $\omega \propto k^z$  so  $k \propto \omega^{1/z}$ . Then

$$N(\omega) \propto k^d \propto \omega^{d/z} \Rightarrow g(\omega) = \frac{dN}{d\omega} \propto \omega^{d/z-1}. \quad (65)$$

Thus

$$U(T) \sim \int d\omega \, \omega g(\omega) n_B(\omega) \sim \int d\omega \, \omega^{d/z} f(\beta\hbar\omega) \propto T^{1+d/z}, \quad (66)$$

so

$$\boxed{C(T) = \frac{\partial U}{\partial T} \propto T^{d/z} \Rightarrow \nu = d/z.} \quad (67)$$